

8

3747/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF  
BIESS et al.

Confirmation No.: Unknown

09/113,072  
Appl. No.: ~~Unknown~~



Group Art Unit: 3747

Filed: Herewith

Examiner: Hyder ALI

Title: SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE  
DIESEL ENGINE

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JUL 26 2002  
TECHNOLOGY CENTER R3700

07/24/2002 HDEHSSI 00000049-033975 09773072  
01 FC:122 130.00 CH

July 22, 2002

\* \* \* \* \*



PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

(AS FILED IN PARENT APPLICATION NO. 09/773,072 ON JULY 19, 2002)

Hon. Commissioner of Patents  
Washington, D.C. 20231

**Match and Return**

Sir:

It is respectfully requested that the inventorship of the above-captioned application be amended to add **Christer Gotmalm** as an inventor, who was omitted from the inventorship of the executed §1.63 declaration and assignment without any deceptive intention on their part.

As a result of these corrections, the inventive entity will consist of **Lawrence J. Biess** and **Christer Gotmalm**.

In accordance with the requirements of 37 CFR § 1.48(a), the following documents are attached hereto:

- (1) A statement from Christer Gotmalm who is being added as an inventor that the inventorship error occurred without any deceptive intention on his part.
- (2) A statement from the current named inventor of the above-identified non-provisional patent application agreeing to the change of inventorship.
- (3) A statement from CSX Transportation, Inc., the sole assignee, agreeing to the change of inventorship in the above-identified patent application.

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**Bless et al. — New Application**

(4) A declaration signed by each actual inventor.

As required by 37 CFR § 1.48(a)(4), the Commissioner is authorized to charge this fee according to § 1.17(i) to our Deposit Account No. 03-3975, under Order No.

011591/0279429 and proceed to consider this Petition to Correct Inventorship.

Respectfully submitted,

Pillsbury Winthrop LLP

By:

*for* Carl P. Atkins 48.75

William P. Atkins

Reg. No.: 38821

Tel. No.: (703) 905-2007

Fax No.: (703) 905-2500

WPA/CMC:nlh

P.O. Box 10500

McLean, VA 22102

(703) 905-2000

Enclosures: Affidavits  
Supplemental Declaration  
Consent of Assignee

**DECLARATION OF PERSON BEING ADDED**

I hereby state that the inventorship error in not naming me in the non-provisional patent application Serial No. 09/773,072 entitled *System and Method for Supplying Auxiliary Power to a Large Diesel Engine* occurred without any deceptive intent on my part.



Inventor: Christer Gotmalm

Signature

Date

Before me personally appeared Christer Gotmalm, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this declaration, and acknowledged that he/she executed the same.

Notary Public

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**JUL 26 2002**

**TECHNOLOGY CENTER 62700**

**DECLARATION OF CURRENT NAMED INVENTOR**

I hereby agree to the change of inventorship to add Christer Gotmalm as an inventor to the non-provisional patent application Serial No. 09/773,072 entitled *System and Method for Supplying Auxiliary Power to a Large Diesel Engine*.

Inventor: Lawrence J. Biess

  
Signature

215-92-3575  
Social Security Number

06/19/02  
Date

Before me personally appeared Lawrence J. Biess, known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this declaration, and acknowledged that he/she executed the same.

  
Notary Public



Patricia J. Bennett  
My Commission CCS-43058  
Expires August 08, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE

☐ PATENT☒ PATENT APPLICATION

OF INVENTOR(S): BIESS

No. 09/773,072

ISSUED:

January 31, 2001

FILED:

TITLE:

SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE DIESEL ENGINE



EXAMINER: 3743

Hyder, A.

## STATEMENT OF OWNERSHIP PER 3.71

AND

## CONSENT OF ASSIGNEE

Hon. Asst. Commissioner of Patents  
Washington, D.C. 20231

Sir:

The undersigned, being the 100% assignee of record of all rights, title and interest in the subject case as evidenced by:

- 1.
- ☒
- the chain of title from the inventor(s) to the undersigned as recorded on:

1. Date:	1/31/01	, Reel	011515	, Frame	0728
2. Date:		, Reel		, Frame	
3. Date:		, Reel		, Frame	

and/or as evidenced by:

- 2.
- ☐
- the attached copy of an Assignment

a. ☐ unrecordedb. ☐ filed on \_\_\_\_\_ for recordation in the USPTO.

hereby consents to:

- 3.
- ☐
- the release of the subject patent and offers to surrender the original patent.

- 4.
- ☒
- changing the statement of inventorship of the subject case by

a. ☒ addition ☐ deletion of the following inventor(s)

## LIST

1. <u>Christer Göttnalm</u>	4. _____
2. _____	5. _____
3. _____	6. _____

The undersigned person hereby states that he/she is empowered to sign this document on behalf of assignee.

CSX Transportation, Inc.

Assignee

By

Name: RACHEL E. GETERSONTitle: CORPORATE SECRETARY

Date

7-17-02

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TECHNOLOGY CENTER/PTO

Please type a plus sign (+) inside this box

Docket No.: CSX.0217

Document No.: CSX.016a

PTO/SB/01 (8-96)

Modified



# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration OR ☒ Declaration  
Submitted with Initial Filing  
Submitted after Initial Filing

Attorney Docket No.: CSX.0217  
First Named Inventor: Lawrence J. Biess  
Application No.: 09/773,072  
Filing Date: 1/31/01  
Group Art Unit  
Examiner Name:

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JUL 25 2002

TECHNOLOGY CENTER 187000

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE DIESEL ENGINE**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on  
(MM/DD/YYYY)

01/31/2001

as United States Application Number or PCT International

Application Number:

09/773,072

and was amended on  
(MM/DD/YYYY)  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title Code 37 of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

## DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of the Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

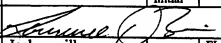
Name	Registration Number	Name	Registration Number
Jeffrey C. Maynard	46,208		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	Jeffrey C. Maynard		
Address	Draughon Professional Association		
Address	One Independent Drive, Suite 2000		
City	Jacksonville	State	Florida
Country	USA	Zip	32202
	Telephone	(904) 358-3777	Fax (904) 353-6927

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Lawrence	Middle Initial	J	Family Name	Biess	Suffix e.g. Jr.	
Inventor's Signature						Date	6/19/02
Residence: City	Jacksonville	State	FL	Country	USA	Citizenship	USA
Post Office Address	12848 Otter Lake Court West						
City	Jacksonville	State	FL	Zip	32246	Country	USA

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

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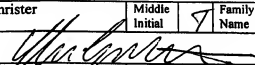
Docket No.: CSX.0217

Document No.: CSX.016a

PTO/SB/01 (8-96)

Modified

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
--------------------	---

Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Christer	Middle Initial	7	Family Name	Gotmalm	Suffix e.g. Jr.	
Inventor's Signature						Date	Dec 5, 2001
Residence: City	Sault Ste Marie	State	ON	Country	Canada	Citizenship	Canada
Post Office Address	147 Eagle Drive						
Post Office Address							
City	Sault Ste Marie	State	ON	Zip	P6B 6C7	Country	Canada

Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	

Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country	
<input type="checkbox"/> Additional inventors are being named on additional supplemental sheet(s) attached hereto.							

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